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James D. Ryndak

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Patent  
Serial No. 09/710401

Attorney Docket  
No. 40010-10028

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Dongping Fang et al.

Serial No. 09/710401

Filed: November 9, 2000

For: **SYSTEM AND METHOD FOR BUILDING A TIME SERIES MODEL**

**INFORMATION DISCLOSURE STATEMENT**


Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed. Regarding the documents listed on the attached PTO-1449, Applicant believes the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant reserves the right to contest the prior art status of any document cited herein.

Pursuant to 37 C.F.R. 1.97(b), this Statement is being filed within three (3) months of the filing date of the application. No fee is required.

Respectfully submitted,

By:   
James D. Ryndak  
Reg. No. 28,754  
Attorney for Applicant

Dated: 2/1/01

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
40010-10028

In Re Application Of: **Dongping Fang et al.**

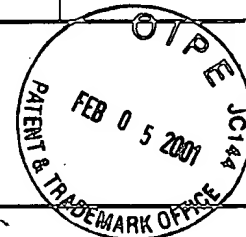
Serial No.  
09/710401

Filing Date  
November 6, 2000

Examiner

Group Art Unit

Title: **SYSTEM AND METHOD FOR BUILDING A TIME SERIES MODEL**



Address to:  
Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
40010-10028

In Re Application Of: Dongping Fang et al.

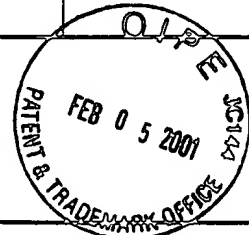
Serial No.  
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Group Art Unit

Title: SYSTEM AND METHOD FOR BUILDING A TIME SERIES MODEL



Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The \_\_\_\_\_ Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0503 as described below. A duplicate copy of this sheet is enclosed.
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\_\_\_\_\_  
Signature

Dated: 2/1/01

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